

# Potential Vorticity Dynamics Near the Equator

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# Potential vorticity and hydrodynamic stability

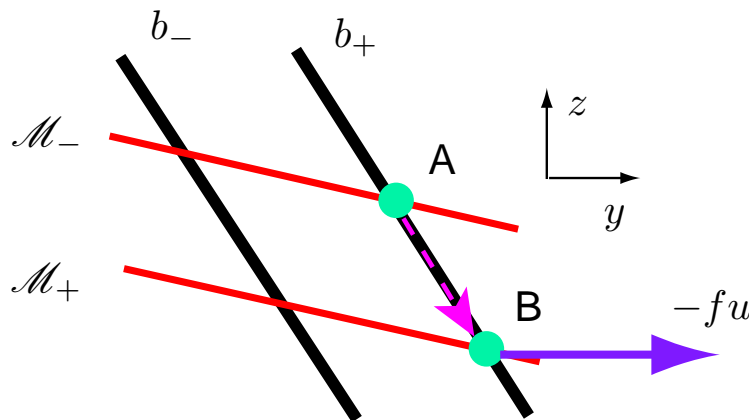
$$q = \omega_a \cdot \nabla b$$

$$\omega_a = f\hat{k} + \nabla \times \mathbf{u}; \quad b = -g\rho/\rho_o$$

- When  $qf < 0$ , the fluid is unstable to 2-D overturning motions termed gravitational, inertial, or symmetric instability.
- For a 2-D fluid, the PV is equal to the Jacobian of the buoyancy and absolute momentum  $q = J(b, \mathcal{M}) = b_y \mathcal{M}_z - b_z \mathcal{M}_y$

$$\mathcal{M} = u - \int f dy$$

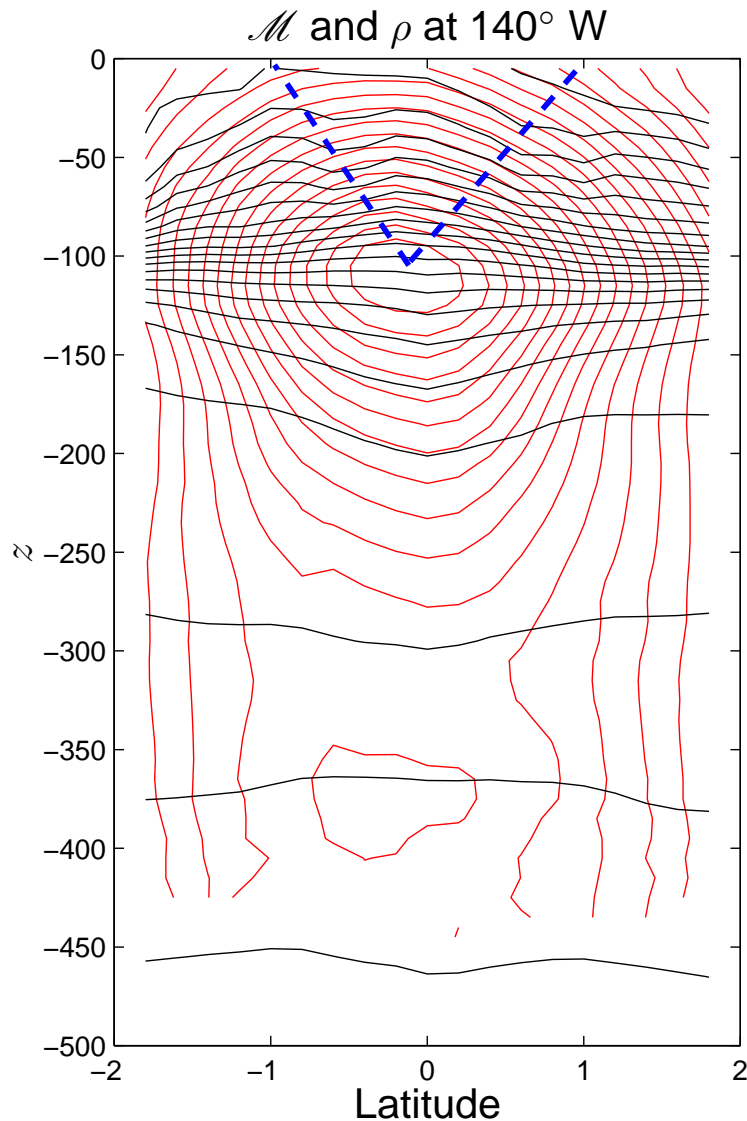
- Fluid unstable when isopycnals are steeper than  $\mathcal{M}$ -surfaces.



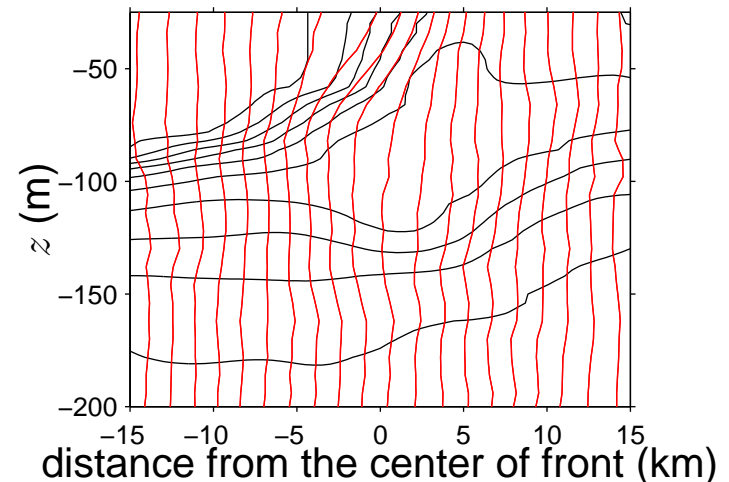
- Displace a fluid parcel along an isopycnal from A to B.
- Parcel conserves its buoyancy and absolute momentum and therefore reduces its zonal velocity.
- Coriolis force accelerates parcel to the north, away from A.

- When  $\mathcal{M}$ -surfaces are  $\parallel$  to isopycnals,  $q = 0$ , and if the flow is in a thermal-wind balance,  $Ri = 1$ .

# Observational evidence of stratified, low PV layers



- Johnson et al. (2002) mean CTD/ADCP sections show evidence of a wedge of fluid within  $\pm 1^\circ$  of the equator and above  $z \approx -100$  m where  $\mathcal{M}$ -surfaces are nearly  $\parallel$  to isopycnals.
- CTD/ADCP sections at the subpolar front of the Japan/East Sea taken during cold-air outbreaks reveal that  $q < 0$  at the front.



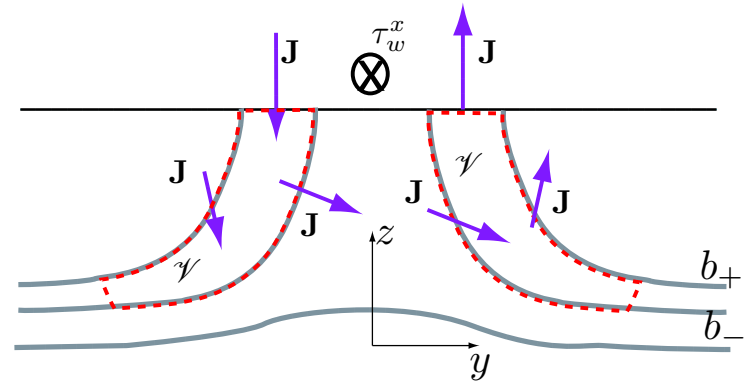
# Potential vorticity dynamics

- Flux form of PV equation

$$\frac{\partial q}{\partial t} = -\nabla \cdot \mathbf{J}$$

$$\mathbf{J} = \mathbf{u}q + \nabla b \times \mathbf{F} - \mathcal{D}\omega_a$$

- Nonadvective PV flux associated with frictional forces  $\mathbf{F}$  and diabatic processes  $\mathcal{D} = Db/Dt$
- What PV flux will cause the PV averaged over  $\mathcal{V}$  to go to zero?



$$\frac{\partial}{\partial t} \iiint_{\mathcal{V}} q dV = - \iint_{z=0} J_z dS$$

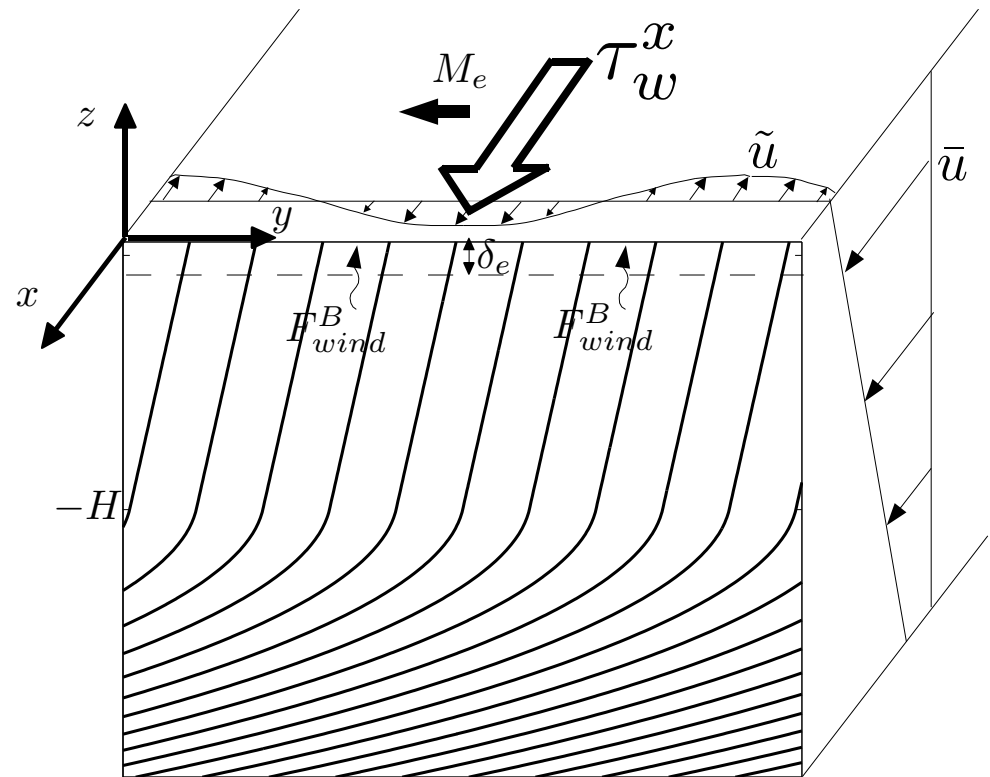
- An upward (downward) surface PV flux north (south) of the equator.
- Surface  $J_z^F = \nabla_h b \times \mathbf{F}$  is upward (downward south of the equator) when  $\tau_w \cdot \partial \mathbf{u}_g / \partial z > 0$  (“down-front” wind)  $\rightarrow$  westward wind-stress.

# PV destruction by winds at a midlatitude front

- Force a baroclinic zone with a spatially-uniform down-front wind-stress.

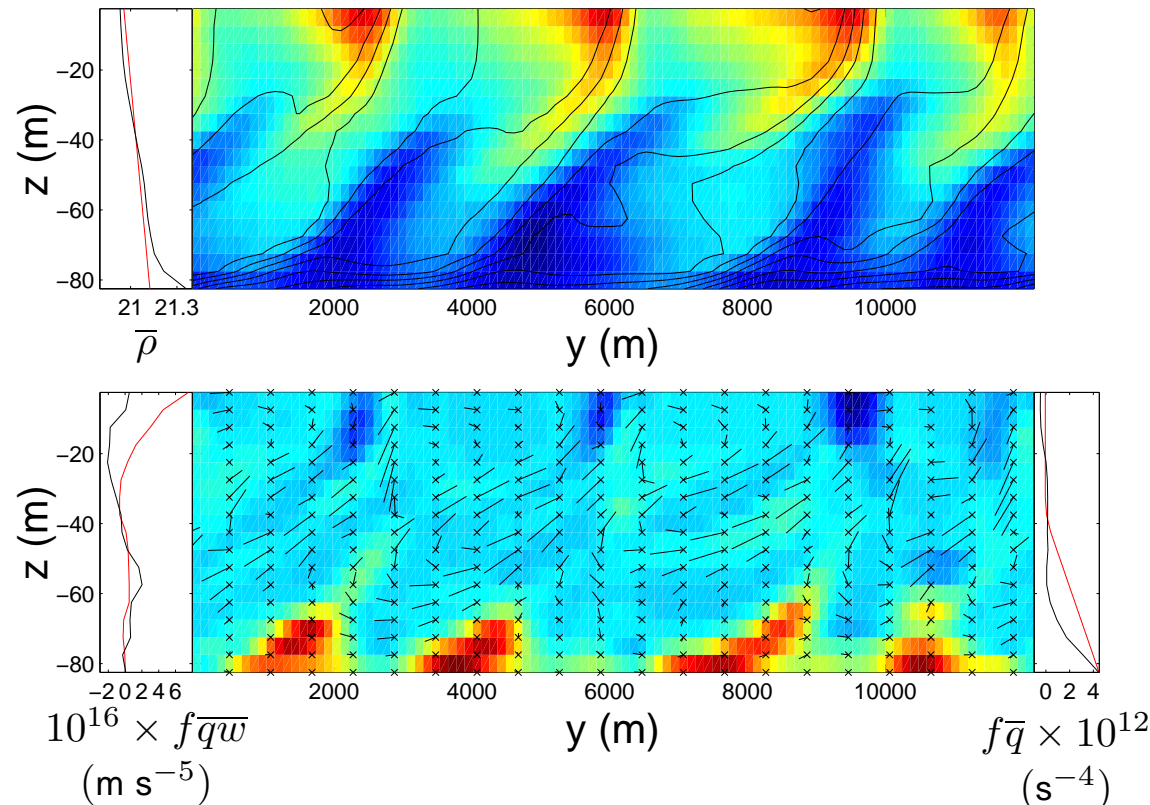
- Mixed layer with constant  $N_{ml}^2$ ,  
 $S^2 = f \frac{\partial \bar{u}}{\partial z} = -\frac{\partial \bar{b}}{\partial y}$ ,  
 and potential vorticity

$$q = fN_{ml}^2 - S^4/f \approx 0$$



- Studied the evolution of the  $q \approx 0$  layer using high resolution, hydrostatic numerical simulations utilizing a KPP mixing scheme modified to parameterize Ekman-driven convection.

# 2-D hydrostatic numerical experiments using ROMS



- For down-front winds, the vertical component of the PV flux at the surface  $-F^x \partial b / \partial y > 0 \rightarrow$  extracts PV from the fluid.
- The flux is transmitted through the  $q \approx 0$  “mixed”-layer by the ageostrophic secondary circulation (ASC), becoming an advective flux  $\overline{wq} > 0$ .
- Advective flux extracts PV from the pycnocline, thus deepening the  $q \approx 0$  layer. ASC may be termed “forced symmetric instability.”

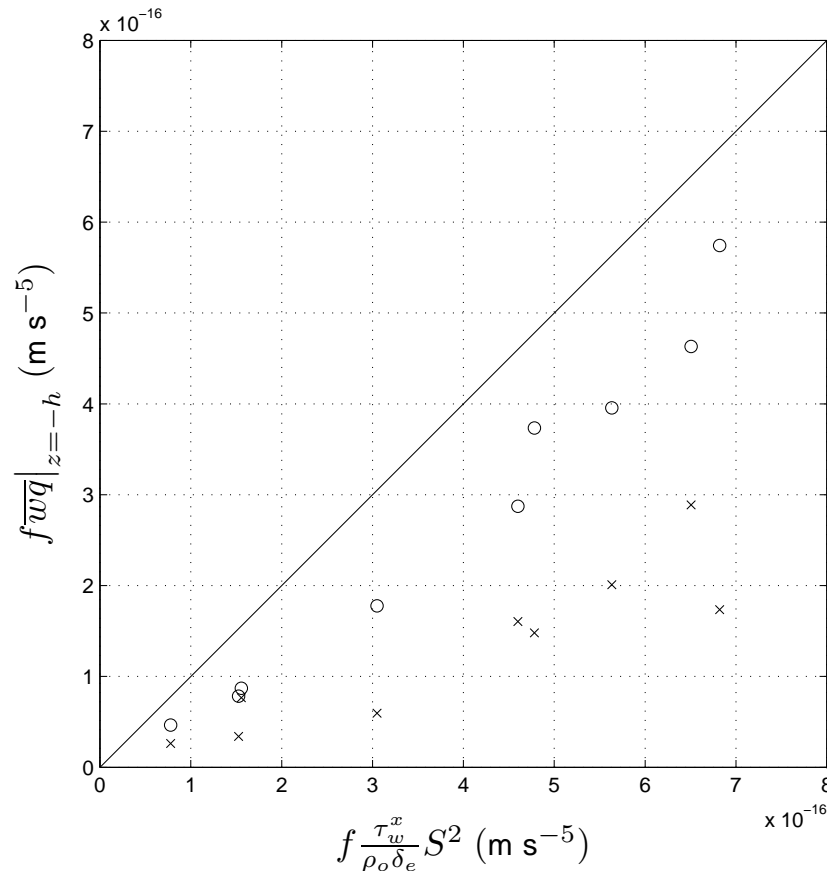
# Scaling for the potential vorticity flux

- Scaling for surface PV flux

$$-F^x \frac{\partial b}{\partial y} \sim \frac{\tau_w^x}{\rho_o \delta_e} S^2$$

$$S^2 = -\frac{\partial \bar{b}}{\partial y}$$

- Advective PV flux  $\overline{wq}$  at the base of the “mixed”-layer scales with the surface PV flux.



- Implication: for a coarse-grid model that can resolve the baroclinic zone and  $S^2$ , an estimate for  $\overline{wq} |_{z=-h}$  can be made and used as a nonlocal flux to determine the rate of deepening of the  $q \approx 0$  layer.

# Conclusions and proposed numerical experiments

- The similarity in the near-surface PV and PV fluxes driven by atmospheric forcing near the equator and at midlatitude fronts motivates performing numerical experiments designed to study PV reduction by “forced symmetric instability.”
  - High-resolution, 2-D numerical experiments initialized with an idealized version of the near equatorial flow and forced by westward winds and buoyancy forcing with diurnal variability.
  - If “forced symmetric instability” is prominent, develop parameterizations for the fluxes of PV and other tracers associated with the ageostrophic flow.
  - Utilize these parameterizations in coarser resolution 3-D experiments.

# Observational evidence of stratified, low PV layers

